



GAIA TA Facility

STAKEHOLDER ENGAGEMENT PLAN

September 2025

GEF/GCF Agency: WWF US

Lead Executing Agency: Catalytic Finance

1. Introduction

With GEF’s funding support, the GAIA TA Facility will help provide investment readiness support for investees preparing to receive GAIA Fund investments and broader knowledge sharing and management to other SIDS and LDCs project sponsors and the global network of climate adaptation investors. Specifically, through the investment readiness component, the TAF will provide sub-grants to potential GAIA Fund investees to improve their projects’ climate rationale, ESG, technical, and commercial aspects, enabling them to potentially receive GAIA Fund investments. A summary of the GAIA TA Facility’s components, outputs, and expected outcomes is presented in Table 1.

Table 1 Project Description Summary

Project Components	Project Outcomes	Project Outputs
Component 1: Investment Readiness of Projects in Preparation for Financing from GAIA Climate Loan Fund	Outcome 1.1 Strengthened SIDS and LDC project sponsor capacity (e.g. subnational governments, state-owned enterprises) in developing and implementing climate adaptation projects that integrate positive climate, environmental, and social considerations for receiving financing from the GAIA Climate Loan Fund	Output 1.1.1 Sub-grants for technical assistance services to projects sponsors to develop systems to identify, assess, and manage E&S risks and climate impacts Output 1.1.2 Sub-grants for technical assistance services to project sponsors to develop policies and practices that promote Gender Equality and Social Inclusion (GESI) and minimize Sexual Exploitation, Abuse, and Harassment (SEAH) and Gender-Based Violence and Harassment (GBVH)
	Outcome 1.2 Strengthened SIDS and LDC project sponsor capacity in developing commercial and bankable projects for receiving financing from the GAIA Climate Loan Fund	Output 1.2.1 Sub-grants for technical assistance to project sponsors to develop financial models, contracts, and procurement processes that improve the commerciality and bankability of structured climate adaptation projects
Knowledge Management and Sharing of Lessons from Supported Projects	Outcome 2.1 Increased awareness and knowledge of key components and how-to in designing future public climate adaptation projects in SIDS and LDCs that can attract blended or private finance	Output 2.1.1 Annual case studies provided to key partners, sharing the lessons and insights from the project TA implementation

Since this Project seeks to support GAIA Fund investees, which include emerging market national and sub-national government entities, state-owned enterprises, and public and private partnerships, to improve their project quality and investee capacity, making them more investment-ready for the GAIA Fund, the Project activities do not necessarily have an on-site implementation scope. Therefore, no potential social and environmental issues have been identified for the implementation stage, and no specific countries have been assessed at this moment.

2. Regulations and Requirements

The Project will comply with WWF's Standard on Stakeholder Engagement and FinDev Canada's Standard on Stakeholder Engagement via the GAIA Fund. As mentioned before, since this Project does not have an on-site implementation scope, no further regulations or requirements have been identified during the design stage for its implementation

WWF Standard on Stakeholder Engagement

The WWF GEF Agency requires all GEF projects comply with GEF and WWF standards on Stakeholder Engagement, specifically the WWF [Standard on Stakeholder Engagement](#) and the associated [Procedures for Implementation of the Standard on Stakeholder Engagement](#). Stakeholder engagement is an overarching term that encompasses a range of activities and interactions with stakeholders throughout the project cycle and is an essential aspect of good project management.

The WWF Standard on Stakeholder Engagement requires the Executing Agency to engage stakeholders throughout the life of the project; communicate significant changes to project stakeholders and consult on potential risks and impacts; establish a grievance redress mechanism and register and respond to grievances throughout project execution, and; disseminate information in a way that is relevant, transparent, objective, meaningful, easily accessible. The Standard on Stakeholder Engagement promotes an inclusive process to support the development of strong, constructive and responsive relationships that help to identify and manage risks, and which encourage positive outcomes for stakeholders and project activities.

FinDev Canada Requirement on Stakeholder Engagement

The FinDev Canada requirement on stakeholder engagement will be cascaded down through the GAIA Fund, which integrates the FinDev Canada requirement in its investment process. All TA GAIA TA Facility projects are screened by the GAIA Fund and need to be aligned with its stakeholder requirements referenced in Annex 15 Stakeholder Engagement Guidelines for Projects of the GAIA Fund's Environmental and Social Management System (ESMS) Handbook.

3. Project Stakeholders

To ensure the design of a robust and high-impact TA Facility, the GAIA Partners have identified and engaged with and incorporated the feedback from the following set of key stakeholders that are crucial to its design and implementation of the GAIA TA Facility. Table 2 below captures the key stakeholder group and their role across the two stages of the GAIA TA Facility.

Table 2 Categorization of GAIA stakeholder groups

Stakeholder Group	Stages	
	Design of the GAIA TA Facility (Completed)	Implementation of the GAIA TA Facility
A. GAIA Fund Partners	Helped ensure the GAIA TA Facility would support the GAIA Fund and help create a pipeline of high-impact projects for the GAIA Fund’s investments	To support the implementation of the GAIA TA Facility so that it will operate efficiently as part of the GAIA ecosystem
B. GAIA TA Facility Execution Leads	N/A	To implement the GAIA TA Facility and ensure all standards and requirements are met
C. NGO Network of Project Preparation Facilities	Provided feedback on existing TA services, which informed the scope of the GAIA TA Facility.	To share the pipeline of projects that may feed into the GAIA ecosystems
D. Emerging Market and Developing Economy (EM&DE) Governments & Institutions (GAIA Investees)	Provided knowledge on the existing pipeline and shared capacity challenges that informed the design of the GAIA TA Facility scope	As the applicants for the TA Facility sub-grants and potential beneficiaries of the GAIA TA Facility support
E. Local and International TA service provider	N/A	To be selected by the GAIA TA Facility manager to help deliver the GAIA TA interventions to support the potential GAIA investees

Table 3 captures all the priority stakeholders.

Table 3 GAIA TA Facility Key Stakeholders

Stakeholder Group	Stakeholder	Stake in Project Implementation
GAIA Fund Partners	GAIA Climate Loan Fund (“GAIA Fund”)	<ul style="list-style-type: none"> Managed by CFM, the GAIA Climate Loan Fund is a pooled investment vehicle with anchor investments from MUFG, FinDev Canada, and the GCF, targeting EM&DE projects across water, agriculture, ecosystems, built environment, energy, and transportation sectors. The fund seeks to provide credit financing to public sector institutions (e.g., subnational government offices, state-owned enterprises) to implement critical climate projects that will contribute to the reduction of community and ecosystem vulnerability to climate variability and climate-

		<p>related risks, increase resilience against climate impacts, and mitigate greenhouse gas emissions.</p> <ul style="list-style-type: none"> • The GAIA TAF will support the GAIA Fund in supporting and enabling projects to receive GAIA Fund investments.
	Climate Fund Managers (CFM)	<ul style="list-style-type: none"> • CFM, as the appointed Alternative Investment Fund Manager for the GAIA Fund, will be accountable for conducting screening and due diligence of projects for investment by the GAIA Fund. As part of the screening and due diligence process, CFM will identify gaps in projects that are deemed of interest for the GAIA Fund and submit TA requests to GAIA TAF. • In its role as the GAIA Fund’s AIFM, CFM provided valuable input in the design of the GAIA TAF to ensure its scope aligns with the GAIA Fund’s objectives so that the financing can flow to achieve the GAIA Fund’s climate outcomes.
	Pollination	<ul style="list-style-type: none"> • Pollination, as the climate impact advisor to CFM, is responsible for conducting the climate and ESG impact portion of the transaction screening and due diligence for the GAIA Fund and providing recommendations to CFM on the necessary climate and ESG interventions the projects may need as part of the TA request to GAIA TAF. • Pollination helped co-design the scope of the GAIA TAF to ensure the TAF can help achieve the objective of the GAIA Fund and complement the global ecosystem of TA and project preparation facilities
	FinDev Canada	<ul style="list-style-type: none"> • FinDev Canada is an LP in the GAIA Fund, and an anchor donor is the GAIA TAF. In these roles, FinDev Canada was deeply involved in the design of the GAIA TAF to ensure it meets its standards and requirements.
	Mitsubishi UFJ Financial Group (MUFG)	<ul style="list-style-type: none"> • MUFG is an LP in the GAIA Fund, the accredited entity representing the GCF for its role as the LP in the GAIA Fund, and the originating bank responsible for generating the pipeline of eligible projects for the GAIA Fund. • In its role as the originating bank, MUFG has been responsible for engagement and relationship management with a network of project origination partners and the EM&DE governments and institutions that could be investees of the GAIA Fund. Since GAIA TAF is intended to support potential GAIA Fund investees, particularly those in

		SIDS and LDCs, MUFG was highly involved in the design of the GAIA TAF. It provided valuable input and was responsible for the critical stakeholder engagements to date that helped shape the scope of GAIA TAF to ensure it meets the needs of the potential investees while aligning with the GAIA Fund’s objectives.
GAIA TA Facility Execution Leads	Catalytic Finance	<ul style="list-style-type: none"> • Catalytic Finance, as the appointed GAIA TAF manager, will be the Lead Execution Agency of this GEF project. As the TAF manager, Catalytic Finance will be involved to help set the governance of the GAIA TAF and coordinate with GAIA Fund sponsors in defining the GAIA TA Committee (“GAIA TAC”) terms and selecting the GAIA TAC voting members. • Once the GAIA TAF is up and running, Catalytic Finance will also be responsible for the procurement of vendor services and the disbursement of sub-grants to carry out the TA activities.
	GAIA TA Committee (“GAIA TAC”)	<ul style="list-style-type: none"> • With its final make-up to be determined by the GAIA partners, the GAIA TAC will be responsible for approving any GAIA TAF funding decisions recommended by Catalytic Finance and overseeing the performance of the GAIA TAF.
NGO Network of Project Preparation Facilities	Including, but not limited to: Cities Climate Finance Leadership Alliance’s PPF Connector, Resilient Cities Network, Global Green Growth Institute, NDC Partnership, Rocky Mountain Institute, C40 Cities, and Deutsche Gesellschaft für Internationale Zusammenarbeit (GIZ)	<ul style="list-style-type: none"> • MUFG has conducted rigorous outreach to numerous entities and Project Preparation Facilities (PPF) networks to support the process of pipeline development and the finalization of the GAIA TA Facility’s final scope of interventions. This outreach has served to better understand the current TA and PPF landscape to most effectively utilize the GAIA TA Facility’s funding capacity in supporting the most promising and eligible project opportunities.
EM&DE Governments & Institutions (GAIA Investees)	To be determined	<ul style="list-style-type: none"> • As potential GAIA Fund investees, EM&DE government and institutions may apply for GAIA TAF support on the recommendation of CFM and Pollination. • These institutions will submit a GAIA TAF application with the support of CFM and Pollination, which will

		be reviewed and evaluated by Catalytic Finance in a fair and equitable manner that ensures the best use of GAIA TAF's resources.
Local and International TA Service Providers	To be determined	<ul style="list-style-type: none"> Once a TA application is approved, Catalytic Finance will work to source support from local and/or international service providers in a competitive tendering process that will ensure the service provider with the best technical capacity, local knowledge and understanding, and value for money can support the potential projects to improve their readiness for GAIA investments.

4. Summary of any previous stakeholder engagement activities

Previous stakeholder engagement activities during the planning and design stage for the GAIA TA Facility have taken place since the inception of the GAIA Platform and are captured in the following table.

Table 4 GAIA TA Facility Previous Stakeholder Engagements

Stakeholder Group	Stakeholder(s)	Timeline	Engagement Activities
GAIA Fund Partners	FinDev Canada CFM Pollination MUFG	Aug 2024	<ul style="list-style-type: none"> Presented and discussed results of PPF landscape analysis to inform GAIA TA Facility scope
	FinDev Canada CFM Pollination MUFG	Sep 2024	<ul style="list-style-type: none"> Discussed the initial draft TA prioritization framework and criteria, TA request process, and implications for TA prioritization
	FinDev Canada CFM Pollination MUFG	Oct 2024	<ul style="list-style-type: none"> Discussed key components of draft TA Facility Handbook
	FinDev Canada CFM Pollination MUFG	Nov 2024	<ul style="list-style-type: none"> Presented revised components of draft TA Facility Handbook and ran a sample TA process walkthrough to illustrate TA scorecard
	FinDev Canada CFM	Jan 2025 – July 2025	<ul style="list-style-type: none"> Released the RfP and interviewed and selected the GAIA TA Facility manager

GAIA TAF Execution Leads	Catalytic Finance	Aug – Sep 2025	<ul style="list-style-type: none"> Discussed the structure and implementation plan of the TA Facility
NGO Network of Project Preparation Facilities	Climate Policy Initiative (Cities Climate Finance Leadership Alliance or the CCFLA team)	May 2024 – Aug 2025	<ul style="list-style-type: none"> Shared a list of potential eligible projects Provided description of the PPF relation and scope of its support for projects
	Rocky Mountain Institute (RMI)	May 2024 – Sep 2025	<ul style="list-style-type: none"> Provided TA intervention examples, ranging from early-stage PPF support to ‘Last Mile’ TA support Submitted promising project concepts for Southeast Asia and the Caribbean
	Deutsche Gesellschaft für Internationale Zusammenarbeit (GIZ) AND C40 Cities	May 2024	<ul style="list-style-type: none"> Described the scope of the Cities Finance Facilities (CFF)
	Resilient Cities Network	May 2024	<ul style="list-style-type: none"> Socialized the scope of the GAIA Facility for its input
	Global Green Growth Institute (GGGI)	May 2024 – Sep 2025	<ul style="list-style-type: none"> Sharing insights on its pipeline of projects that have received its PPF support and could be suitable for GAIA financing
	NDC Partnership	May 2024 – Sep 2025	<ul style="list-style-type: none"> Shared insights on its pipeline of projects
	Global Center on Adaptation	Sep 2025	<ul style="list-style-type: none"> Shared insights on its training activities with countries that could inform the project pipeline for GAIA
	Resilient Water Accelerator (RWA)	Sep 2025	<ul style="list-style-type: none"> Shared insights on its pipeline of projects
	Cities Finance Facility	Aug 2025	<ul style="list-style-type: none"> Shared insights on its pipeline of projects
EM&DE Governments & Institutions	CDC Benin	Jul 2025	<ul style="list-style-type: none"> Shared insights on its financing needs and project opportunities

(GAIA Investees)			with the broader GAIA group
	CRDB Bank Tanzania	Sep 2025	<ul style="list-style-type: none"> Shared insights on its financing needs and project opportunities with the broader GAIA group
	National Water Fund, Tanzania	Sep 2025	<ul style="list-style-type: none"> Shared insights on its financing needs and project opportunities with the broader GAIA group
	Pan-African Agency of the Great Green Wall	Sep 2025	<ul style="list-style-type: none"> Shared insights on its financing needs and project opportunities with the broader GAIA group
	African Union, Blue Economy UNIT	Sep 2025	<ul style="list-style-type: none"> Shared insights on its financing needs and project opportunities with the broader GAIA group

5. Stakeholder Engagement Plan

The purpose of this Stakeholder Engagement Plan is to ensure appropriate and consistent involvement of project stakeholders in every stage of the project implementation, supporting effective communication and working relationships. The Project Management Unit (PMU) will ensure that the views and inputs of stakeholders are taken into consideration throughout project implementation.

The GAIA Platform requires all projects receiving GAIA Fund investments to have a robust project-level SEP in place, and the GAIA TAF is a tool within the platform to provide support to investees and project proposals in developing and enhancing project-level stakeholder engagement plans.

Any projects submitted for GAIA TAF support will be pre-screened by the GAIA Fund, which has stakeholder engagement requirements in-place. As such, the GAIA TAF will rely on the GAIA Fund’s stakeholder engagement screening for project selection. The following illustrates how the two are related and how the stakeholder engagement considerations come to bear:

- First, eligible GAIA investees, including sovereign, sub-sovereign, and quasi-sovereign governments, submit project proposals to the GAIA Fund and enter into the GAIA Fund’s investment process.
- Second, the GAIA Fund investment manager performs screening and due diligence on submitted projects against the fund’s credit analysis and climate and environmental, social, and governance (ESG) impact criteria. For project proposals with gaps in impact, ESG, or commercial rationale and documentation, but deemed as a potential high-impact

investment, the GAIA investment manager will submit a TA request to the GAIA TA Facility. These gaps may include project-specific SEPs.

- Third, the GAIA TAF manager will review the request and evaluate the applications based on the assessment criteria listed in the annexed GAIA TA Facility Handbook. Given that the project selection process of the GAIA TAF follows the screening and due diligence of the GAIA Fund, which includes gender evaluation criteria, the GAIA TAF project selection will not have an additional gender evaluation screen.
- Fourth, recommendations made by the GAIA TAF manager will be reviewed by the GAIA TA Committee (“GAIA TAC”). All TA funding decisions need to be reviewed and approved by the GAIA TAC.
- Fifth, once the GAIA TAC approves a TA proposal, the GAIA TAF manager will oversee the contracting process. This process involves negotiating terms, deliverables, timelines, and costs, ensuring that TA contracts clearly outline the roles, responsibilities, and expectations of all parties involved. In the vendor selection process, the GAIA TAF manager will adopt a strict evaluation approach to ensure that any consultants, advisors, and service providers will have in-depth local knowledge and take a participatory approach to involve the necessary local stakeholders, including communities and vulnerable populations, national and sub-national governments, and local financial institutions.

The following illustrates the plan for engagements with the identified key stakeholder groups for the GAIA TAF.

Table 5 GAIA TA Facility Stakeholder Engagement Plan

Stakeholder Type	Name	Frequency of Engagement/ Project Years	Engagement During Project Implementation
EM&DE Governments as GAIA investees applying for GAIA TA Facility support	To be determined (See Appendix 1 for a full list of potential GAIA investees that have been identified)	Continuously, throughout the 3 year project duration	EM&DE governments that are GAIA investees applying for the GAIA TA Facility support will be engaged through work meetings, email conversations, follow-up meetings, and workshops throughout the TA Facility funding cycle The GAIA TA Facility will provide these potential investees with the scope of the TA Facility, selection criteria, and requirements for participation.
TA Facility Funders	FinDev Canada GEF	Continuously, throughout the 3-year project duration	GAIA TA Facility’s funders will be engaged through work meetings, email conversations, and provided with updates on the performance of the TA Facility

Potential future private and philanthropic funders in the GAIA TAF	To be determined (See Appendix 1 for a full list of potential GAIA TA Facility funders that have been identified)	Continuously, throughout the 3-year project duration	The GAIA team will continue to engage with potential funders and donors for the GAIA TAF, sharing with them the scope of the facility and success stories
NGO Network of Project Preparation Facilities	Climate Policy Initiative (CCFLA team)	Continuously, throughout the 3-year project duration	The GAIA team will continue to engage with the network of project preparation facilities to share pipelines of eligible projects that will feed into the GAIA ecosystem
	Rocky Mountain Institute (RMI)		
	Deutsche Gesellschaft für Internationale Zusammenarbeit (GIZ) AND C40 Cities		
	Resilient Cities Network		
	Global Green Growth Institute (GGGI)		
Local and Global TA Service Providers	To be determined (See Appendix 1 for a full list of potential GAIA investees that have been identified)	Continuously, throughout the 3-year project duration	The GAIA TAF Manager will manage the procurement of local and global TA service providers, providing information on the clear scope of work required for TA services, and manage a procurement process that is fair and transparent.

As the GAIA TA Facility has no on-site implementation activities, the TAF will support gender integration and mainstreaming through the development of project proposals that must include a gender analysis and a Gender Action Plan that potential GAIA Fund investees will implement once they obtain GAIA Fund financing.

Also, no Indigenous people or minority groups have been identified as key stakeholders in the Stakeholder Engagement Plan for the implementation of the GAIA TA Facility. However, as with gender mainstreaming, the GAIA TAF will support the development of a stakeholder analysis and Stakeholder Engagement Plan that must meet the GAIA Fund’s guidance for Indigenous people and local community inclusion within the GAIA Fund’s Environmental and Social Management System (ESMS).

6. Resources and Responsibilities

The WWF GEF Project Agency is responsible for oversight. The lead Executing Agency, Catalytic Finance, is responsible for executing the Stakeholder Engagement Plan and overall compliance with the WWF Standard on Stakeholder Engagement.

The GAIA TA Facility has no significant potential impacts or complex stakeholder engagement activities as it does not have a specific on-site implementation focus.

The Catalytic Finance team will be in charge of stakeholder engagement activities. However, since the development and implementation of investment-readiness plans include stakeholder analysis and the development of a stakeholder engagement plan for the selected GAIA investees (i.e., the EM&DE governments and institutions), resources allocated for this support under Component 1 will be partially dedicated towards these activities. Catalytic Finance will be responsible for implementing the SEP for the GAIA TA Facility. CFM, as the Fund Manager to the GAIA Fund, will be responsible for the follow-up on the implementation of any project-specific SEP should the investees receive follow-on financing from the GAIA Fund.

7. Grievances Mechanism

The grievance redress mechanism is designed to enable the receipt of complaints of affected women and men and public concerns regarding the environmental and social performance of the project. In short, the aim of the mechanism is to provide people fearing, or suffering, adverse impacts with the opportunity to be heard and assisted. It is designed to address the concerns of the community(ies) with a particular project, identify the root causes of the conflicts, and find options for the resolution of grievances. Therefore, it is an essential tool to foster good cooperation with project stakeholders and ensure adequate delivery of previously agreed-upon results.

This mechanism is designed to:

- Address potential breaches of WWF's policies and procedures;
- Be independent, transparent, and effective;
- Be accessible to project-affected people;
- Keep complainants abreast of progress of cases brought forward; and
- Maintain records on all cases and issues brought forward for review.

The PMU will be responsible for informing project-affected parties about the grievance mechanisms.

Contact information of the staff member responsible for the grievance mechanism in the PMU will be made publicly available.

WWF GEF Agency Grievance Mechanism

Project-affected communities and other interested stakeholders may raise a grievance at any time to the WWF GEF Agency. Contact information of the WWF GEF Agency will be made publicly available.

A grievance can be filed with the Project Complaints Officer (PCO), a WWF staff member fully independent from the WWF GEF Agency, who is responsible for the WWF Accountability and Grievance Mechanism and who can be reached at: Email: SafeguardsComplaint@wwfus.org.

Mailing address:

Project Complaints Officer Safeguards Complaints,
World Wildlife Fund
1250 24th Street NW
Washington, DC 20037

Complaints may be submitted in the Affected Party's native language and should include the following information:

- Complainant's name and contact information;
- If not filed directly by the complainant, proof that those representing the affected people have authority to do so;
- The specific project or program of concern;
- The harm that is or may be resulting from the project;
- The relevant Environmental and Social Safeguards policy or provision (if known);
- Any other relevant information or documents;
- Any actions taken so far to resolve the problem, including contacting WWF;
- Proposed solutions; and
- Whether confidentiality is requested (stating reasons).

The PCO will respond within 10 business days of receipt, and claims will be filed and included in project monitoring.

Stakeholders may also submit a complaint online or over the phone through an independent third-party platform at <https://secure.ethicspoint.com/domain/media/en/gui/59041/index.html> or <https://report.whistleb.com/en/wwf>.

Catalytic Finance Grievance Mechanism

Catalytic Finance Foundation is committed to upholding transparency, accountability, and integrity in all aspects of its operations. Its whistleblower/grievance mechanism is designed to provide a secure and confidential channel for reporting unethical behavior, misconduct, legal violations, breaches of organizational policies, or any concerns related to the workplace or projects.

Catalytic fosters an environment where individuals are encouraged to act responsibly and raise concerns without fear of retaliation. All disclosures are treated with strict confidentiality and investigated thoroughly and impartially.

Stakeholders can report allegations such as bribery, theft, fraud, embezzlement, conflict of interest, nepotism, discrimination, sexual abuse, exploitation and sexual harassment (SEAH), or other forms of harassment. Catalytic prohibits any form of retaliation against individuals who report concerns or participate in investigations. Employees and stakeholders can report grievances confidently, knowing their safety and confidentiality are safeguarded.

Complaints may be submitted via this platform: <https://www.catalyticfinance.org/confidential-whistleblowing-complaint-submission>

8. Monitoring and Reporting

Progress against the Stakeholder Engagement Plan will be monitored and reported on throughout implementation.

The following comprises the monitoring and reporting activities to be undertaken with respect to stakeholder engagement by the PMU within Catalytic Finance:

- The SEP will be periodically reviewed and updated as necessary at an annual Reflection Workshop. The review will ensure that the list of project stakeholders and methods of engagement remain appropriate.
- Activities related to stakeholder engagement will be documented and reported by the PMU every 6 months in a Project Progress Report (as part of regular reporting). The project Results Framework and Annual Work Plan and Budget will track beneficiaries of the project and activities related to the Stakeholder Engagement Plan.
- Stakeholder Engagement activities and progress will be monitored through the following indicators:
 - GEF Core Indicator 11: Number of direct beneficiaries disaggregated by gender as co-benefit of GEF investment
 - Indicator SEP 1: Number of government agencies, civil society organizations, private sector, indigenous peoples and other stakeholder groups that have been involved in the project implementation phase on an annual basis
 - Indicator SEP 2: Number of engagements (e.g. meeting, workshops, consultations) with stakeholders during the project implementation phase (on an annual basis)

Stakeholder Engagement will be evaluated by independent consultants recruited for the terminal evaluation.

The WWF GEF Agency will undertake annual supervision missions to ensure compliance and report on progress against the Stakeholder Engagement Plan annually to the GEF through Project Implementation Reports.

Appendix 1: Stakeholder Analysis

Stakeholder Type	Stakeholder Name	Region	Interest/Involvement in the Project	Project Effect on Stakeholder / Stakeholder Influence on the Project
Government Institutions	Invest Barbados (IB)	Barbados	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Barbados Port Incorporated	Barbados	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Barbados Water Authority	Barbados	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Barbados National Oil Company Ltd. (BNOCL)	Barbados	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Ministry of Housing	Barbados	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Ministry of Finance, Economic Affairs and Investment	Barbados	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Ministry of Maritime Affairs and the Blue Economy	Barbados	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Ministry of Environment	Barbados	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Ministry of Agriculture	Barbados	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Ministry of Transport, Works and Water Resources	Barbados	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Ministry of Energy, Small Business and Entrepreneurship	Barbados	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Minister of Environment and Energy	Costa Rica	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Ministry of Finance	Costa Rica	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support

Fondo Nacional de Financiamiento Forestal (FONAFIFO)	Costa Rica	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Instituto Costarricense Electricidad (ICE)	Costa Rica	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Instituto Costarricense Acueductos y Alcantarillado (AYA)	Costa Rica	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Consejo Nacional de Vialidad (CONAVI)	Costa Rica	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Banco Nacional (BNCR)	Costa Rica	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Ministerio de Obras Públicas y Transportes	Costa Rica	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
The National Export Bank (BANDEX)	Dominican Republic	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Banco de Reservas	Dominican Republic	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Ministry of Environment and Natural Resources	Dominican Republic	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Ministry of Finance	Dominican Republic	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Empresa Generadora de Electricidad Haina (EGE Haina)	Dominican Republic	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Corporación Dominicana de Empresas Eléctricas Estatales	Dominican Republic	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Oficina Metropolitana de Servicios de Autobuses (OMSA)	Dominican Republic	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Rural Development Bank	Guatemala	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support

Ministry of Environment	Guatemala	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Ministry of Finance	Guatemala	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Guatemalan Railway Company (FEGUA)	Guatemala	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
National Port Authority of Champerico (EPNCH)	Guatemala	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Port Quetzal (EPQ)	Guatemala	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Santo Tomas de Castilla National Port Company	Guatemala	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Ministry of Economic Growth and Job Creation	Jamaica	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Ministry of Finance	Jamaica	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Ministry of Environment	Jamaica	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Ministry of Housing	Jamaica	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Ministry of Urban Renewal	Jamaica	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Ministry of Science Energy and Technology	Jamaica	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
National Water Commission (NWC)	Jamaica	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
National Solid Waste Management Authority (NSWMA)	Jamaica	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Petroleum Corporation of Jamaica (PCJ)	Jamaica	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Jamaican Urban Transit Corporation (JUTC)	Jamaica	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Jamaica Transport Authority	Jamaica	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support

Ministry of Growth and Job Creation	Jamaica	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Development Bank of Jamaica	Jamaica	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Ministry of Environment	Panama	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Banco de Desarrollo Agropecuario (BDA)	Panama	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Banco Nacional de Panama	Panama	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Secretaría de Energía	Panama	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
MiBus – Autoridad de Tránsito y Transporte Terrestre	Panama	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
PROPANAMA	Panama	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Empresa de Transmisión Eléctrica (ETESA)	Panama	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Instituto de Acueductos y Alcantarillados Nacionales (IDAAN)	Panama	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Fideicomiso Ecológico de Panamá (FIDECO)	Panama	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Association for the Promotion of National Infrastructure (AFIN)	Peru	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Proinversión	Peru	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Empresa de Administración en Infraestructura Eléctrica (ADINELSA)	Peru	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
ElectroPeru	Peru	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support

	Sedapal	Peru	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Ministerio de Economía y Finanzas	Peru	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Ministry of Environment (MINAM)	Peru	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Agricultural Bank of Peru (AGROBANCO)	Peru	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Corporación Financiera de Desarrollo (COFIDE)	Peru	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	National Bank for Agricultural and Rural Development	India	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Rural Electrification Corporation Ltd. (REC)	India	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	State Bank of India	India	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	IDFC Bank Ltd	India	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Small Industries Development Bank of India	India	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Ministry of Finance	India	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Ministry of Environment, Forests and Climate Change	India	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Council on Energy, Environment and Water (CEEW)	India	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Yes Bank Limited	India	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Ministry of Finance	Indonesia	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support

	PT Indonesia Infrastructure Finance (IIF)	Indonesia	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Pertamina	Indonesia	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	PT PLN (The Power Company)	Indonesia	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Ministry of Environment	Indonesia	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Mongolian Green Finance Corporation	Mongolia	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Trade and Development Bank of Mongolia	Mongolia	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Ministry of Finance	Mongolia	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Ministry of Environment and Tourism	Mongolia	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Erdenes Tavan Tolgoi JSC	Mongolia	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Erdenes Mongol LLC	Mongolia	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	State Bank	Mongolia	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	XacBank LLC	Mongolia	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Sustainable Banking Association	Mongolia	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Development Bank of the Philippines	Philippines	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Land Bank of the Philippines	Philippines	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Bank of the Philippine Islands	Philippines	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Department of Finance	Philippines	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support

	Ministry of Environment	Philippines	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	DMO/Ministry of Economy and Finance	Benin	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Ministry of Finance	Benin	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Ministere des Mines de l'Énergie et de l'Hydraulique	Benin	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	SBEE (Société Béninoise d'Énergie Électrique)	Benin	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	ABERME (Agence Béninoise d'Électrification Rurale)	Benin	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Ministry of Living Environment and Sustainable Development	Benin	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Ministry of Finance	Côte d'Ivoire	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Ministry of Environment	Côte d'Ivoire	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	BICICI	Côte d'Ivoire	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	BNI	Côte d'Ivoire	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	SOTRA (Abidjan Transport Company)	Côte d'Ivoire	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	CI-ENERGIES	Côte d'Ivoire	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Port Autonome d'Abidjan	Côte d'Ivoire	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Port Autonome de San-Pedro	Côte d'Ivoire	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support

	Ministry of Infrastructures	Côte d'Ivoire	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Ministry of Planning and Development	Côte d'Ivoire	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Ministry of Petroleum, Mines and Energy	Côte d'Ivoire	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Versus Bank	Côte d'Ivoire	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Agricultural Development Bank	Ghana	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Development Bank of Ghana	Ghana	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Volta River Authority	Ghana	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Ghana Infrastructure Investment Fund (GIIF)	Ghana	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	COCOBOD	Ghana	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Ministry of Finance	Ghana	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Ministry of Environment	Ghana	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Ghana Water Company Limited (GWCL)	Ghana	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	GIDA (Ghana Irrigation Development Authority)	Ghana	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Coastal Development Authority (CODA)	Ghana	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Community Water & Sanitation Agency (CWSA)	Ghana	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Ministry of Finance	Kenya	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Ministry of Environment	Kenya	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support

	County Government of Laikipia	Kenya	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Kenya Pooled Water Fund	Kenya	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Nairobi City	Kenya	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Consolidated Bank of Kenya	Kenya	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	KCB Bank Kenya Limited	Kenya	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	The National Treasury	Kenya	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Ministry of Finance	Mauritius	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Ministry of Finance and Economic Development	Mauritius	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Ministry of Environment	Mauritius	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Sugar Investment Trust	Mauritius	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Central Electricity Board (CEB)	Mauritius	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Mauritius Renewable Energy Agency (MARENA)	Mauritius	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	BCP Bank Mauritius	Mauritius	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	MCB Bank	Mauritius	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Development Bank of Mauritius (DMB)	Mauritius	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Ministry of Economy and Finance	Morocco	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	Ministry of Energy, Mines and Environment	Morocco	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support

MASEN (Moroccan Agency for Sustainable Energy)	Morocco	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Al Omrane Holding	Morocco	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Banque Centrale Populaire (BCP)	Morocco	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
ONEE (Office national de l'électricité et de l'eau potable)	Morocco	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
OCP Group	Morocco	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Ministry of Energy Transition and Sustainable Development	Morocco	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
National Development Corporation	Tanzania	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Ministry of Finance	Tanzania	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Ministry of Environment	Tanzania	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Tanzania Agricultural Development Bank	Tanzania	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
TIB Development Bank	Tanzania	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
CRDB Bank Plc	Tanzania	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Vice President's Office	Tanzania	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Ministry of Finance	Togo	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Ministry of Environment	Togo	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
Togo Invest	Togo	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support

	Togolaise des Eaux (TdE)	Togo	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	AT2ER	Togo	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
	CEET	Togo	Potential GAIA project proponent	Potential beneficiary receiving GAIA TA support
NGOs	GGGI	Global	Potential GAIA TA pipeline partner	Interest in collaborating to support adaptation projects
	NDC Partnership	Global	Potential GAIA TA pipeline partner	Interest in collaborating to support adaptation projects
	C40	Global	Potential GAIA TA pipeline partner	Interest in collaborating to support adaptation projects
Philanthropic Foundations	Bezos Earth Fund	Global	Potential TA facility funder/donor	Potential interest in influencing the scope and delivery method of the TA Facility activities
	Children's Investment Fund Foundation (CIFF)	Global	Potential TA facility funder/donor	Potential interest in influencing the scope and delivery method of the TA Facility activities
	Quadrature Climate Foundation	Global	Potential TA facility funder/donor	Potential interest in influencing the scope and delivery method of the TA Facility activities
	Rockefeller Foundation	Global	Potential TA facility funder/donor	Potential interest in influencing the scope and delivery method of the TA Facility activities
Development Finance Institution/Aid Agencies	JICA	Japan	Potential TA facility funder/donor	Potential interest in influencing the scope and delivery method of the TA Facility activities
Multilaterals	United Nations Environment Programme (UNEP)	Global	Potential GAIA TA pipeline partner	Interest in collaborating to support adaptation projects
	UNEP, Regional Climate Unit	Global	Potential GAIA TA pipeline partner	Interest in collaborating to support adaptation projects
	United Nations Capital Development Fund, UN Development Programme (UNDP)	Global	Potential GAIA TA pipeline partner	Interest in collaborating to support adaptation projects

Appendix 2: Systematic Documentation of Stakeholder Consultations

Date	Description of Consultation	Venue	Objective of the Consultation	Summary of Meeting Outcomes	Participants
August 2024	PPF landscape analysis to inform GAIA TA Facility scope	Virtual	Present and discuss results of PPF landscape analysis to inform GAIA TA Facility scope	Discussion of key implications for GAIA TA Facility, including prioritization approach and market differentiation	FinDev Canada, CFM, MUFG, and Pollination
September 2024	TA prioritization framework, decision criteria, and decision process	Virtual	Present and discuss initial draft TA prioritization framework and criteria, TA request process and implications for TA prioritization	Discussion of key decision-making criteria, process, and possible outcomes of TA decisions	FinDev Canada, CFM, MUFG, and Pollination
October 2024	Review of full draft of TA Facility Handbook	Virtual	Present and discuss key components of draft TA Facility Handbook	Consensus on eligible TA activities, exclusion list of activities, TA scorecard, and TA process	FinDev Canada, CFM, MUFG, and Pollination
November 2024	Finalize/establish consensus on TA Facility Handbook	Virtual	Present revised components of draft TA Facility Handbook and run a sample TA process walkthrough to illustrate TA scorecard	Consensus on revised components and the final draft of the TA Facility Handbook (Version 1.0 – November 2024)	FinDev Canada, CFM, MUFG, and Pollination
March 2025	Confirm the scope of the GEF project in relation to the GAIA TA Facility	Virtual	Present the scope of the GEF project and receive confirmation from GAIA partners	Consensus achieved on the scope of the GEF funding to go towards SIDS/LDC projects and set the project period to be 3 years	WWF, FinDev Canada, CFM, Pollination
July 2025	Share progress on the GEF project application with GAIA Partners	Virtual	Present the materials within the GEF project application to get GAIA	Received feedback from the GAIA partners and updated the documents	FinDev Canada, CFM, and Pollination

			partners' input on the one-step documentation and co-financing letter		
August 2025	Share progress on the GEF project application with GAIA Partners	Virtual	Present the materials within the GEF project application to get GAIA partners' input on the one-step documentation and its annexures	Received feedback from the GAIA partners and updated the documents	FinDev Canada, CFM, and Pollination
September 2025	Validate the GEF project application with GAIA Partners	Virtual	Present the materials within the GEF project application with GAIA partners to solicit their input and buy-in	Comments received and consensus reached amongst the GAIA partners that the GEF project application for the GAIA TA Facility is ready to go	FinDev Canada, CFM, Pollination, and Catalytic Partners